Serial No. 09/558,453
COPY OF PAPERS
ORIGINALLY FILED

I hereby certify that on January 25, 2002, which is the date I am signing this certificate, I am depositing this correspondence the United States Postal Service, first class mail, in an envelope addressed to the Assistant Commissioner of Patents, Washington D.C. 20231

Florence Thys-Doucet

Applicant: Liu et al. Serial No.: 09/558,453 Filed: April 25, 2000

Title: Spindle Motor With an Aerodynamic

and Hydrodynamic Bearing Assembly

Examiner: Unknown Group Art Unit: 2834

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Assistant Commissioner for Patents Washington, D.C. 20231

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Applicant brings the references listed below and on the attached Form PTO-1449 to the examiner's attention. 37 C.F.R. § 1.56. Do not construe the filing of this information disclosure statement as a representation that applicant has made a search (37 C.F.R. § 1.97(g)), or as an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists. We enclose copies of the cited documents.

#### **English Language Documents:**

U.S. Patent No. 5,770,906; and

U.S. Patent No. 5,969,448

#### Foreign Language Documents:

Japanese Patent No. JP 11/275,807

We bring these foreign language documents to the examiner's attention with a concise explanation of the relevance of each document, as the individual designated in 37 C.F.R.

Serial No. 09/558,453 Docket No. 15939-18

§ 1.56(c) as most knowledgeable about the content of the information of each document presently understands it.

We request that the examiner show that he or she considered the cited references by returning a copy of the attached form PTO-1449 with initials or other appropriate marks, and that the examiner make the references of record as cited references in the application.

We enclose our check in the amount of \$180.00 for the filing fee. The Commissioner is hereby authorized to charge any additional filing fees under 37 C.F.R. § 1.16, or application processing fees under 37 C.F.R. § 1.17, which may be required now or during the pendency of this application, or credit any overpayment to Account No. 16-2230.

Respectfully submitted,

Dated: January 25, 2002

Guy Porter Smith, Reg. No. 20,142

OPPENHEIMER WOLFF & DONNELLY LLP

2029 Century Park East, Suite 3800

Los Angeles, California 90067

(310) 788-5000

Serial No. 09/558,453

Florence Thys-Doug



\$7830

Docket No. 15939-18
PATENT

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Assistant Commissioner for Patents Washington, D.C. 20231

Commissioner of Patents, Washington, D.C. 20231

# STATEMENT FOR INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1/97(e)

Sir:

This statement is being made for the Information Disclosure Statement accompanying this statement.

I, Guy Porter Smith, signing below state:

That each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. 37 C.F.R. § 1.97(e)(1).

I am the practitioner who signs below on the basis of the information in the practitioner's file

Respectfully submitted,

Dated: January 25, 2002

Guy Porter Smith, Reg. No. 20,142

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# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Assistant Commissioner for Patents Washington, D.C. 20231

# TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT AFTER MAILING DATE OF FINAL OFFICE ACTION BUT BEFORE PAYMENT OF THE ISSUE FEE

Sir:

The information disclosure statement transmitted herewith is being filed after a final action under § 1.113 but before the payment of the issue fee.

In accordance with the requirements of 37 C.F.R. § 1.97(d);

- A. Accompanying this transmittal is a statement as specified in 37 C.F.R. § 1.97(e).
- B. Applicant hereby petitions for the consideration of the accompanying information disclosure statement. 37 C.F.R. § 1.97(d)(2).
  - C. Applicant submits the petition fee set forth in § 1.17(i) (\$130.00)

We enclose our check in the amount of \$130.00 for the petition fee. The Commissioner is hereby authorized to charge any additional filing fees under 37 C.F.R. § 1.16, or application processing fees under 37 C.F.R. § 1.17, which may be required now or during the pendency of this application, or credit any overpayment to Account No. 16-2230.

Respectfully submitted,

Dated: January 25, 2002

Guy Porter Smith, Reg. No. 20,142

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